

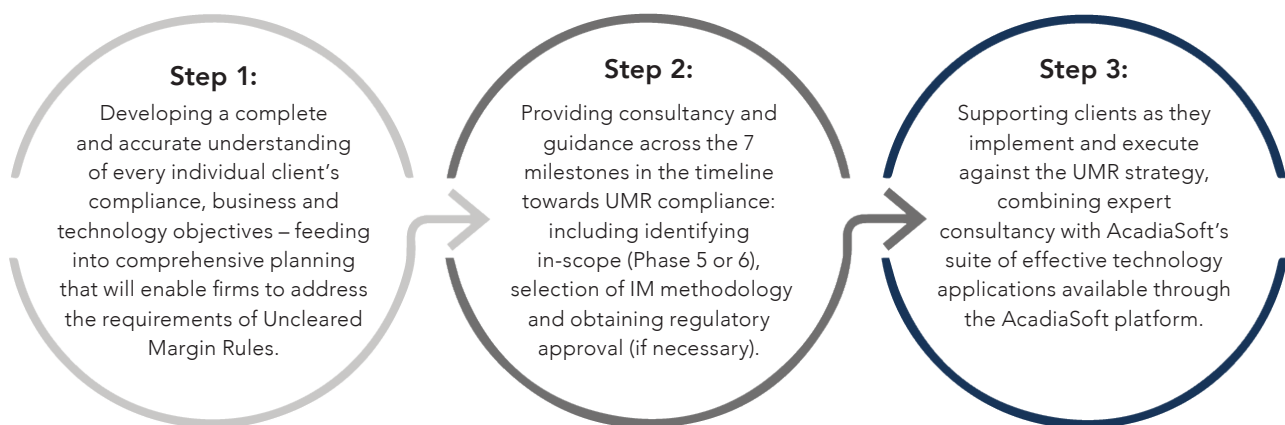
Advisory Services

Critical knowledge for non-cleared margin

Advisory consultants to help firms in-scope for non-cleared margin rules meet their operational and regulatory requirements.

AcadiaSoft has extensive experience in helping in-scope firms to develop and support different aspects of uncleared margin rules (UMR). We work with a number of consultancy firms that can assist your firm with all the information, analysis and guidance to establish your model validation, operational setup and governance process and, where relevant, to secure regulatory approval to use SIMM™* for your bilateral derivatives franchises.

Leveraging our partners helps to ensure that your firm is fully compliant with the regulations regarding utilization of the SIMM™ model, including the daily, quarterly and annual tasks spanning monitoring, backtesting and validation.



Benefits of using a specialist consultancy

- **Experience** and knowledge accumulated from working closely with the vast majority of IM Phase 1, 2, 3 and 4 firms currently using SIMM™
- **Implementation** of a tailored project that focuses on your specific model validation and governance requirements
- **Advice** on analysing and presenting backtesting and validation output, both in relation to the generic ISDA backtesting documentation as well as portfolio-specific output
- **Consultation** on best practice governance frameworks to ensure that SIMM™ is managed appropriately from a counterparty risk and operational perspective
- A **cost effective** option compared to utilizing internal resources for these complex tasks and a simpler, more efficient dispute resolution process
- **Monitors** and determines when to initiate Agreement generation or amendment
- Establishes automated cross-functional workflow enabling **scalability**

Our consultancy partners have:

- Completed core, strategic modelling, back-testing and validation projects at global Tier 1 banks and leading Central Counterparties (CCPs).
- Delivered solutions to satisfy US, UK and EU regulators.
- Extensive CCP and dealer risk-model governance, IM model monitoring, model backtesting and validation experience.
- Partnered on this initiative to capitalize on AcadiaSoft's unique SIMM™ experience and to create a key industry resource to help firms gain approval to use ISDA SIMM™.

Visit our dedicated UMR website to review a full set of resources, timelines and operational readiness checklists

acadiasoft.com/umr_compass

For more information contact us on info@acadiasoft.com or visit acadiasoft.com

AcadiaSoft, Inc. is the leading industry provider of risk and collateral management services for the non-cleared derivatives community. AcadiaPlus is an open platform that provides the sell-side, the buy-side and fund administrators with specialist applications and a third party partner ecosystem for the straight-through processing of the entire risk mitigation lifecycle. Backed by 16 major industry participants and market infrastructures, AcadiaSoft is used by a community of more than 1100 firms exchanging approximately \$700B of collateral on a daily basis via its margin automation services.

AcadiaSoft® is a registered trademark of AcadiaSoft, Inc. ISDA SIMM™ is a registered trademark of ISDA

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